



FINANCIAL DISCLOSURE STATEMENT ELECTED OFFICIALS AND CANDIDATES

Reporting Period: January 1, 20____through December 31, 20 ____

PART I. IDENTIFYING INFORMATION

FIRST NAME:	INITIAL:	LAST NAME:
OFFICE (HELD OR SOUGHT)		
E-MAIL ADDRESS		

PART II. SIGNATURE

This Financial Disclosure Statement describes all interests and related transactions and matters required to be disclosed by the Rockville City Code Section 16-61, et seq. with respect to the period indicated and pertaining to the person filing this statement. The statement consists of this cover sheet and Schedules A through I. Financial Disclosure Statements must be annually filed with the City Clerk on or before April 30.

I hereby make oath or affirm under the penalties of perjury that the contents of this Financial Disclosure Statement are complete, true and correct to the best of my knowledge, information and belief.

Signature of person filing:

Date:

Print Name:_____

Date received by City Clerk's Office: _____

**If you have any questions about your submission, please contact the City Attorney's Office at
240.314.8150**

PART III. DESIGNATED HOME ADDRESS AND INFORMATION ABOUT CERTAIN COMPENSATION

Designated Home Address:

The Municipal Custodian is prohibited from providing access to an address that an individual has designated as the individual's home address. This page will be redacted from public disclosure if a person requests to examine or copy your financial disclosure statement.

You should not include your designated home address on any Schedule or attachment that you submit with this financial disclosure statement.

Do not list your designated home address in Question 1.c. on Schedule A. An address or legal description of all other addresses for real property, in which you have an interest must be included in your response to Question 1.c. on Schedule A.

**Compensation from: (1) The University of Maryland Medical System;
(2) A governmental entity of the State or a local government in the State; or
(3) a quasi-governmental entity of the State or local government in the State.**

The Rockville City Code, Section 16-46, was amended in accordance with State law to prohibit the Municipal Custodian from providing access to information you provide regarding compensation from the University of Maryland Medical System, a government entity of the State or a local government in the State, or a quasi-governmental entity of the State or local government of the State. If you have such compensation, provide the information in this part of the Financial Disclosure Form and note "provided in Section III" on any other portion of the Financial Disclosure Form that asks for compensation information.

Compensation from the identified entities: _____

No other portion of or attachment to your financial disclosure statement will be redacted from public disclosure from this financial disclosure statement.

All written communications related to this financial disclosure form will be sent to the email address listed on the signature page.

Note: With respect to Schedules A, B, and C, the following shall be considered to be the interests of the person making the statement:

- (1) Any interest held by the immediate family of the person making the statement, if such interest was at any time during the filing year directly or indirectly controlled by the person making the statement;
- (2) An interest held, at any time during the applicable period by:
 - (i) a business entity in which the individual held a 10% or greater interest;
 - (ii) a business entity described in section (i) of this subsection in which the business entity held a 25% or greater interest;
 - (iii) a business entity described in section (ii) of this subsection in which the business entity held a 50% or greater interest; and
 - (iv) a business entity in which the individual directly or indirectly, through an interest in one or a combination of other business entities, holds a 10% or greater interest.
- (3) Any interest held by a trust or estate, under which the person making the statement held a reversionary interest at any time during the filing year, or under which trust the person making the statement was at any time during that year a trustor, if a revocable trust, or a beneficiary.

Schedule A – Real Property Interests

(page one of two)

A separate Schedule A is required for each real property interest disclosed

Code Reference: Section 16-64(1)

Did you have any interest (including leasehold interests and interests in any oil, gas or other mineral royalty or lease) in real property during the reporting period? This includes ownership, leasing or rental of your residence, or of any other property anywhere.

☐ Yes (Please complete questions below)

☐ No (Go to Schedule B)

1. What is the nature of the property and the address or legal description of the property?

a. Nature of the property

☐ Improved-if so, indicate whether property is

☐ Residential

☐ Commercial

☐ Unimproved (vacant lot)

b. Is this property your designated home address?

☐ Yes. If yes, proceed to Section 2 of this Schedule and do not complete Subsection c.

☐ No. If no, complete Subsection c before proceeding to Section 2.

c. Street Address or Legal Description

Schedule A – Real Property Interests
(page two of two)

- 2.
- a. On what date was the property interest acquired? _____
 - b. How was the property interest acquired? _____
(Example: purchase, gift, inheritance, etc.)
 - c. From whom was the property acquired? _____
3. What consideration was given when the property interest was acquired? _____
(Dollar amount paid, or if you acquired the interest other than by purchase, the fair market value at the time you acquired your interest in the property)
4. Have you transferred, in whole or in part, any interest in this property during the reporting period?
- ☐ Yes
 - ☐ No
- If yes, then:
- a. Describe the interest transferred: _____
 - b. What consideration did you receive for the interest? _____
 - c. To whom did you transfer the interest? _____
5. Identify any other person with an interest in the property: _____

Schedule B – Interests in Corporations and Partnerships (page one of two)

A separate Schedule B is required for each interest disclosed

Code Reference: Section 16-64(2)

During the reporting period, did you have any interest in any corporation, partnership, limited liability partnership (LLP), or limited liability corporation (LLC) whether or not the corporation does business with the City?

- ☐ Yes (Please complete questions below) ☐ No (Go to Schedule C)

1. Provide all names under which the entity in which you have an interest does business as (d/b/a) as well as the name and address of the principal office of the business entity?

2. What is the nature and amount of the interest held, including any conditions to and encumbrances in the interest?

a.

- ☐ In your name alone
☐ Jointly (percentage of your interest): _____%

- b. What is the nature of your interest and its dollar value or the number of shares?

(Example: stock, notes, bond, puts, calls, straddles, purchase options, etc.)

Type: _____

Dollar Value of Shares \$ _____ OR report equity interest below:

If an equity interest in a non-publicly traded corporation – Number of Shares: _____

If an equity interest in a partnership – Percentage of Ownership: _____

- c. Are there any legal conditions or encumbrances that apply to your interest in the entity:

- ☐ Yes
☐ No

If yes, name of entity holding the encumbrance _____

- d. When was the interest acquired? _____

- e. How was the interest in the equity acquired? _____

(Example: purchase, gift, will, etc.)

- f. From whom did you acquire the interest in the entity? _____

- g. What consideration was given when the interest was acquired? _____

(Dollar amount paid, or if you received the property as a gift or inherited it, the fair market value at the time you acquired your interest in the property)

Schedule B – Interests in Corporations and Partnerships
(page two of two)

A separate Schedule B is required for each interest disclosed

Code Reference: Section 16-64(2)

3. Have you transferred any interest in this entity during the reporting period?

☐ Yes

☐ No

If yes, then:

- a. Describe the interest transferred: _____
- b. What consideration did you receive for the interest transferred? _____
- c. To whom did you transfer the interest? _____

Schedule C – Interests in Any Other Business Entities
Doing Business with the City
(page one of two)

A separate Schedule C is required for each business entity disclosed

Code Reference: Section 16-64(3)

Do you have an interest in any other business entity not reported on Schedule B that did business with the City of Rockville during the reporting period?

- ☐ Yes (Please complete questions below) ☐ No (Go to Schedule D)

1. Provide all names under which the entity in which you have an interest does business as (d/b/a) as well as the name and address of the principal office of the business entity.

2. What is the nature and amount of the interest held, including any conditions to and encumbrances in the interest?

a.

- ☐ In your name alone
☐ Jointly (percentage of your interest): _____%

- b. What is the nature of your interest and its dollar value or the number of shares?

(Example: stock, notes, bond, puts, calls, straddles, purchase options, etc.)

Type: _____

Dollar Value of Shares \$ _____ OR report equity interest below:

If an equity interest in a non-publicly traded corporation – Number of Shares: _____

If an equity interest in a partnership – Percentage of Ownership: _____

- c. Are there any legal conditions or encumbrances that apply to your interest in the entity:

(Example: mortgages, liens, contracts, options, etc.)

- ☐ Yes
☐ No

If yes, name of entity holding the encumbrance: _____

- d. When was the interest acquired? _____

- e. How was the interest in the equity acquired? _____

(Example: purchase, gift, will, etc.)

- f. From whom did you acquire the interest in the entity? _____

- g. What consideration was given when the interest was acquired? _____

(Dollar amount paid, or if you received the property as a gift or inherited it, the fair market value at the time you acquired your interest in the property)

**Schedule C – Interests in Any Other Business Entities
Doing Business with the City
(page two of two)**

A separate Schedule C is required for each business entity disclosed

Code Reference: Section 16-64(3)

3. Have you transferred any interest in this entity during the reporting period?

☐ Yes

☐ No

If yes, then:

- a. Describe the interest transferred: _____
- b. What is the nature and amount of consideration you received for the interest transferred?

- c. To whom did you transfer the interest? _____

Schedule D – Gifts

Code Reference: Section 16-64(4)

During the reporting period, did you receive any gift, whether directly or indirectly, including from an association, or any entity acting on behalf of an association that is engaged only in representing counties or municipal corporations, in excess of a value of \$20 or a series of gifts from the same donor with a cumulative value in excess of \$100 from a person or entity who: 1) did business with the City; or 2) engaged in an activity that was regulated or controlled by the City? Gifts received from parents, spouses, domestic partners or children, campaign contributions which are otherwise reported as required by law, and meals and beverages need not be disclosed.

☐ Yes (Please provide requested information below)

☐ No (Go to Schedule E)

Name, Address and Affiliation of Donor	Description of Gift	Approximate Retail Value of Gift

Please use additional sheet(s), if necessary, for any additional entries.

Schedule E – Offices, Directorships, and Salaried Employment

Code Reference: Section 16-64(5)

During the reporting period, did you or any member of your immediate family (domestic partner, spouse, parent or dependent child) have any salaried employment or hold any office or directorship with any business entity that did business with the City? [Note: if you received compensation from an entity listed on in Section III above, note only that this information is provided in that Section].

☐ Yes (Please provide requested information below) ☐ No (Go to Schedule F)

Name and address of the principal office of the business entity	Nature and title of the office, directorship, or salaried employment you, your domestic partner, spouse or dependent child held	Total compensation received and the date the position began	Each City agency with which the entity was involved

Please use additional sheet(s), if necessary, for any additional entries.

Schedule F – Liabilities

A separate Schedule F is required for each debt disclosed

Code Reference: Section 16-64(6)

Did you, at some time during the period covered by this statement have any liabilities which were owed to any person doing business with the City, or did you at any time during the period covered by this statement, become involved in transactions giving rise to liabilities owed by a member of your immediate family to any person doing business with the City? You are not required to disclose liabilities owed in connection with retail credit accounts.

NOTE: If, on Schedule A, B or C you listed a financial entity that did business with the City as the holder of your mortgage or other encumbrance, you must complete Schedule F with regard to that indebtedness.

☐ Yes (Please complete questions below)

☐ No (Go to Schedule G)

1. To whom was the liability owed? _____
(Do not include retail accounts)
2. When was the liability incurred? _____
3. What was the amount of the liability owed as of the end of the reporting period? If debt existed during the reporting period but was paid in full at the end of the period, put \$0. \$ _____
4. What are the terms of payment of the liability? _____
5. Did the principal of the debt decrease or remain the same during the reporting period? _____
6. If increased or decreased, by how much? \$ _____
7. Was any security given for the debt?
 - i. Yes
 - ii. No
 - a. If yes, please state what type of security was given: _____

Schedule G – Family Members Employed by the City

Code Reference: Section 16-64(7)

During the reporting period, were any members of your immediate family (domestic partner, spouse, parent or dependent children) employed by the City in any capacity?

☐ Yes (Please provide requested information below)

☐ No (Go to Schedule H)

Name of Family Member	Relationship to You	Position / Title that Family Member Held

Please use additional sheet(s), if necessary, for any additional entries.

Schedule H – Sources of Income

Code Reference: Section 16-64(8)

During the reporting period, were you or a member of your immediate family employed by or were you or a member of your immediate family a sole or partial owner of a business entity from which you or a member of your immediate family earned income?

NOTE: Your minor child's employment or business ownership does not need to be disclosed if the agency that employs you does not regulate, exercise authority over, or contract with the place of employment or the business entity of the minor child.

[NOTE: if you received compensation from an entity listed on in Section III above, note only that this information is provided in that Section].

☐ Yes (Please complete questions below)

☐ No (Go to Schedule I)

1. If, during the reporting period, you or a member of your immediate family had employment from which income was earned, list the name and address of the employment, source of income, nature of the income (salary, commission, interest, or other form of income).

Name of person employed (you or immediate family member): _____

Name of Employer: _____

Address of Employer: _____

City/State/Zip: _____

Source of Income: _____

Nature of Income: _____

2. If, during the reporting period, you or a member of your immediate family wholly or partially owned any business entity from which income was earned, list the name and address of the business entity, source of income, nature of the income (salary, commission, interest, or other form of income).

Name of person with ownership interest (you or immediate family member): _____

Name of Business Entity: _____

Address: _____

City/State/Zip: _____

Source of Income: _____

Nature of Income: _____

3. If, during the reporting period, your spouse or domestic partner was a lobbyist regulated by the City, list the names of all entities that engaged your spouse or domestic partner for lobbying purposes.

Name(s) of Entities: _____

Please use additional sheet(s), if necessary, for any additional entries.

Name: _____

Schedule I – Other

Code Reference: Section 16-64(9)

In the space below, provide any additional information or interest you would like to disclose:

This image shows a single sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.

Please use additional sheet(s), if necessary, for any additional entries.