FINANCIAL DISCLOSURE STATEMENT
MEMBERS OF AND APPLICANTS FOR
DESIGNATED BOARDS AND COMMISSIONS

Reporting Period: January 1, 20_____through December 31, 20 _____

PART I. IDENTIFYING INFORMATION

FIRST NAME:	INITIAL:	LAST NAME:
BOARD OR COMMISSION:		
E-MAIL ADDRESS:		

PART II. SIGNATURE

This Financial Disclosure Statement describes all interests and related transactions and matters required to be disclosed by the Rockville City Code, Section 16-69, et seq. with respect to the period indicated and pertaining to the person filing this statement. The statement consists of this cover sheet and Schedules A through I. Financial Disclosure Statements must be annually filed with the City Clerk on or before April 30.

I hereby make oath or affirm under the penalties of perjury that the contents of this Financial Disclosure Statement are complete, true and correct to the best of my knowledge, information and belief.

Signature of person filing: Date:

_____ _____

Print Name:_____

Date received by City Clerk's Office: _____

If you have any questions about your submission, please contact the City Attorney's Office at 240.314.8150

PART III. DESIGNATED HOME ADDRESS AND INFORMATION ABOUT CERTAIN COMPENSATION

Designated Home Address:

_____

_____

_____

The Municipal Custodian is prohibited from providing access to an address that an individual has designated as the individual's home address. This page will be redacted from public disclosure if a person requests to examine or copy your financial disclosure statement.

You should not include your designated home address on any Schedule or attachment that you submit with this financial disclosure statement.

Do not list your designated home address in Question 1.c. on Schedule A. An address or legal description of all other addresses for real property in the State of Maryland in which you have an interest must be included in your response to Question 1.c. on Schedule A.

Compensation from: (1) The University of Maryland Medical System;
(2) A governmental entity of the State or a local government in the State; or
(3) a quasi-governmental entity of the State or local government in the State.

The Rockville City Code, Section 16-46, was amended in accordance with State law to prohibit the Municipal Custodian from providing access to information you provide regarding compensation from the University of Maryland Medical System, a government entity of the State or a local government in the State, or a quasi-governmental entity of the State or local government of the State. If you have such compensation, provide the information in this part of the Financial Disclosure Form and note "provided in Section III" on any other portion of the Financial Disclosure Form that asks for compensation information.

Compensation from the identified entities: _____

No other portion of or attachment to your financial disclosure statement will be redacted from public disclosure from this financial disclosure statement.

All written communications related to this financial disclosure form will be sent to the email address listed on the signature page.

Note: With respect to Schedules A, B, and C, the following shall be considered to be the interests of the person making the statement:

(1) Any interest held by the immediate family of the person making the statement, if such interest was at any time during the filing year directly or indirectly controlled by the person making the statement;
(2) An interest held, at any time during the applicable period by:
(i) a business entity in which the individual held a 10% or greater interest; (ii) a business entity described in section (ii) of this subsection in which the business entity held a 25% or greater interest;
(iii) a business entity described in section (ii) of this subsection in which the business entity held a 50% or greater interest; and
(iv) a business entity in which the individual directly or indirectly, through an interest in one or a combination of other business entities, holds a 10% or greater interest.
(3) Any interest held by a trust or estate, under which the person making the statement held a reversionary interest at any time during the filing year, or under which trust the person making the statement was at any time during that year a trustor, if a revocable trust, or a beneficiary.

Schedule A – Real Property Interests
(page one of two)

A separate Schedule A is required for each real property interest disclosed

Code Reference: Section 16-69(1)

Did you have any interest (including leasehold interests and interests in any oil, gas or other mineral royalty or lease) in real property in the State of Maryland during the reporting period? This includes ownership, leasing or rental of your residence, or of any other property anywhere in the State of Maryland.

☐ Yes (Please complete questions below) ☐ No (Go to Schedule B)

1. What is the nature of the property and the address or legal description of the property?
   a. Nature of the property
      ☐ Improved-if so, indicate whether property is
         ☐ Residential
         ☐ Commercial
      ☐ Unimproved (vacant lot)
   b. Is this property your designated home address?
      ☐ Yes. If yes, proceed to Section 2 of this Schedule and do not complete Subsection c.
      ☐ No. If no, complete Subsection c before proceeding to Section 2 of this Schedule.
   c. Street Address or Legal Description

      _____

      _____

      _____

Name: _____

Schedule A – Real Property Interests

(page two of two)

2.

a. On what date was the property interest acquired? _____

b. How was the property interest acquired? _____
(Example: purchase, gift, inheritance, etc.)

c. From whom was the property acquired? _____

3. What consideration was given when the property interest was acquired? _____
(Dollar amount paid, or if you acquired the interest other than by purchase, the fair market value at the time you acquired your interest in the property)

4. Have your transferred, in whole or in part, any interest in this property during the reporting period?

☐ Yes

☐ No

If yes, then:

a. Describe the interest transferred:

_____

b. What consideration did you receive for the interest?

_____

c. To whom did you transfer the interest?

_____

5. Identify any other person with an interest in the property:

_____

Name: _____

Schedule B – Interests in Corporations and Partnerships

A separate Schedule B is required for each interest disclosed

Code Reference: Section 16-69(2)

During the reporting period, did you have any interest in any corporation, partnership, limited liability partnership (LLP), or limited liability corporation (LLC) whether or not the corporation does business with the City?

☐ Yes (Please complete questions below) ☐ No (Go to Schedule C)

1. Provide all names under which the entity in which you have an interest does business as (d/b/a) as well as the name and address of the principal office of the business entity.

   _____

   _____

2. What is the nature and amount of the interest held, including any conditions to and encumbrances in the interest?

   a.

   ☐ In your name alone

   ☐ Jointly (percentage of your interest):_____%

   b. What is the nature of your interest and its dollar value or the number of shares?
   (Example: stock, notes, bond, puts, calls, straddles, purchase options, etc.)
   Type: _____
   Dollar Value of Shares $_____OR report equity interest below:

   _____

   _____

   If an equity interest in a non-publicly traded corporation – Number of Shares: _____
   If an equity interest in a partnership – Percentage of Ownership: _____

   c. Are there any legal conditions or encumbrances that apply to your interest in the entity:
   (Examples: mortgages, liens, contracts, options, etc.)

   ☐ Yes
   ☐ No

   If yes, name of entity holding the encumbrance _____

3. Have you transferred any interest in this entity during the reporting period?

   ☐ Yes
   ☐ No

   If yes, then:

   a. Describe the interest transferred: _____
   b. What consideration did you receive for the interest transferred? _____
   c. To whom did you transfer the interest? _____

Name: _____

Schedule C – Interests in Any Other Business Entities Doing Business with the City

A separate Schedule C is required for each business entity disclosed

Code Reference: Section 16-69(3)

Do you have an interest in any other business entity not reported on Schedule B that did business with the City of Rockville during the reporting period?

☐ Yes (Please complete questions below) ☐ No (Go to Schedule D)

1. Provide all names under which the entity in which you have an interest does business as (d/b/a) as well as the name and address of the principal office of the business entity.

   _____

   _____

2. What is the nature and amount of the interest held, including any conditions to and encumbrances in the interest?

   a.

   ☐ In your name alone

   ☐ Jointly (percentage of your interest):_____%

   b. What is the nature of your interest and its dollar value or the number of shares? (Example: stock, notes, bond, puts, calls, straddles, purchase options, etc.)

   Type: _____

   Dollar Value of Shares $_____OR report equity interest below:

   _____

   _____

   If an equity interest in a non-publicly traded corporation – Number of Shares: _____

   If an equity interest in a partnership – Percentage of Ownership: _____

   c. Are there any legal conditions or encumbrances that apply to your interest in the entity?:

   (Example: mortgages, liens, contracts, options, etc.)

   ☐ Yes

   ☐ No

   If yes, name of entity holding the encumbrance: _____

3. Have you transferred any interest in this entity during the reporting period?

   ☐ Yes

   ☐ No

   If yes, then:

   a. Describe the interest transferred: _____

   b. What is the nature and amount of consideration you received for the interest transferred? _____

   c. To whom did you transfer the interest? _____

Name: _____

Schedule D – Gifts

Code Reference: Section 16-69(4)

During the reporting period, did you at any time receive any gift, in excess of twenty-five dollars ($20) in value or a series of gifts totaling one hundred dollars ($100) or more from any one person or from any other person at your direction, from, or on behalf of, directly or indirectly, including from an association, or any entity acting on behalf of an association that is engaged only in representing counties or municipal corporations, from any person who does business with the City or is regulated by the City, provided however that gifts received from parents, spouses, domestic partners or children, campaign contributions which are otherwise reported as required by law, and meals and beverages need not be disclosed.

☐ Yes (Please provide requested information below) ☐ No (Go to Schedule E)

Name, Address and Affiliation of Donor	Description of Gift	Approximate Retail Value of Gift

Please use additional sheet(s), if necessary, for any additional entries.

Name: _____

Schedule E – Offices, Directorships, and Salaried Employment

Code Reference: Section 16-69(5)

During the reporting period, did you have any salaried employment or hold any office or directorship with a business entity that did business with the City? [Note: if you received compensation from an entity listed on in Section III above, note only that this information is provided in that Section].

☐ Yes (Please provide requested information below) ☐ No (Go to Schedule F)

Name and address of the principal office of the business entity	Nature and title of the office, directorship, or salaried employment you held	Total compensation received

Please use additional sheet(s), if necessary, for any additional entries.

Name: _____

Schedule F – Liabilities

A separate Schedule F is required for each debt disclosed

Code Reference: Section 16-69(6)

Did you, at some time during the period covered by this statement have any liabilities which were owed to any person doing business with the City, or did you at any time during the period covered by this statement, become involved in transactions giving rise to liabilities owed by your spouse or child to any person doing business with the City?

NOTE: You are not required to disclose liabilities owed in connection with retail credit accounts, consumer loans ($1,500 or less) and automobile loans made by banks, savings and loan associations or credit unions. With regard to liabilities incurred in connection with transactions that you became involved in owned by your spouse or child, you are not required to disclose retail accounts, consumer loans ($1,500 or less) and automobile loans made to your spouse or child by banks, savings and loan associations or credit unions. If, on Schedule A, B or C you listed a financial entity that did business with the City as the holder of your mortgage or other encumbrance, you must complete Schedule F with regard to that indebtedness.

☐ Yes (Please complete questions below) ☐ No (Go to Schedule G)

1. To whom was the liability owed?

   _____
   (Do not include retail accounts)

2. What was the amount of the liability owed as of the end of the reporting period? If debt existed during the reporting period but was paid in full at the end of the period, put $0.

   $_____

3. What are the terms of payment of the liability?

   _____

4. Did the principal of the debt decrease or remain the same during the reporting period?

   _____

5. If increased or decreased, by how much?
   $_____

6. Was any security given for the debt?
   - ☐ Yes
   - ☐ No

   a. If yes, please state what type of security was given: _____

Name: _____

Schedule G – Family Members Employed by the City

Code Reference: Section 16-69(7)

During the reporting period, were any members of your immediate family (spouse, domestic partner or dependent children) employed by the City in any capacity?

☐ Yes (Please provide requested information below) ☐ No (Go to Schedule H)

Name of Family Member	Relationship to You	Position / Title that Family Member Held

Please use additional sheet(s), if necessary, for any additional entries.

Name: _____

Schedule H – Sources of Income

Code Reference: Section 16-69(8)

Provide a schedule of all major sources of income (in excess of one thousand dollars ($1,000)) for the one year period ending the date of this statement, including identification of the source of the income, the nature of the income (whether salary, commission, interest, or other form of income) but not including the amount thereof.

[NOTE: if you received compensation from an entity listed on in Section III above, note only that this information is provided in that Section].

Sources of Income in Excess of $1,000	Nature of Income

Please use additional sheet(s), if necessary, for any additional entries.

Name: _____

Schedule I – Other

Code Reference: Section 16-69(9)

In the space below, provide any additional information or interest you would like to disclose:

Please use additional sheet(s), if necessary, for any additional entries.

Name: _____